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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/579,866	05/25/2000	Neal R Eisenberg	STL9-2000-0058	9640

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EXAMINER

PANNALA, SATHYANARAYA R

ART UNIT PAPER NUMBER

2177

DATE MAILED: 08/27/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/579,866

Applicant(s)

EISENBERG ET AL.

Examiner

Sathyanarayan Pannala

Art Unit

2177

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 May 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 5/25/00 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### Drawings

1. The drawings are objected to because they fail to show necessary textual labels of features or symbols in Figs. 1 as described in the specification. For example, placing a label, "output device", with element 16 of Fig. 1, would give the viewer necessary detail to fully understand this element at a glance. A *descriptive* textual label for *each numbered element* in these figures would be needed to fully and better understand these figures without substantial analysis of the detailed specification. Any structural detail that is of sufficient importance to be described should be shown in the drawing. Optionally, applicant may wish to include a table next to the present figure to fulfill this requirement. See 37 CFR 1.83. 37 CFR 1.84(n)(o) is recited below:

"(n) Symbols. Graphical drawing symbols may be used for conventional elements when appropriate. The elements for which such symbols and labeled representations are used must be adequately identified in the specification. Known devices should be illustrated by symbols which have a universally recognized conventional meaning and are generally accepted in the art. Other symbols which are not universally recognized may be used, subject to approval by the Office, if they are not likely to be confused with existing conventional symbols, and if they are readily identifiable.

(o) Legends. Suitable descriptive legends may be used, or may be required by the Examiner, where necessary for understanding of the drawing, subject to approval by the Office. They should contain as few words as possible."

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(4) because reference character 12 has been used to designate all workstations.
3. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### **Specification**

4. The use of the trademarks Windows NT<sup>TM</sup>, IBM<sup>TM</sup>, has been noted in this application. It should be capitalized wherever it appears and be accompanied by the generic terminology.

Ex: Windows NT<sup>TM</sup> is used on page 4, line 10 and IBM is used on page13, line 5.

Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

It is also necessary to specify the version when describing software in the specification.

Appropriate correction is required. Please check the complete specification.

### ***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1, 7, 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Xu et al. (U.S. Patent 6,324,581).

7. Xu anticipated independent claims 1, 7, 13 by the following:

"generating a request from a client on the local data processing system to the remote data processing system to open a foreign tile in the foreign file system" at Fig. 2, col. 8, lines 47-49;

"opening of the of the foreign file by the foreign file system" at Fig. 3, col. 10, lines 14-16;

"sending of the file attributes (interpreted attributes as part of metadata) of the foreign file, hereinafter foreign file attributes, to the local data processing system" at Fig. 3, col. 8, lines 57-59 and col. 10, lines 16-17;

"storing of the foreign tile attributes (interpreted attributes as part of metadata) by the local data processing system" (it stores with the data mover and allows for access by the client) at Fig. 3, col. 10, lines 17-19;

"accessing of the foreign file attributes stored in the local data processing system by the local data processing system client to process the foreign file" at Fig. 3, col. 10, lines 12-14;

"processing by the local data processing system client the foreign tile using the stored foreign file attributes" at Fig. 3, col. 10, lines 14-19.

### ***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

"A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the

prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains.

Patentability shall not be negated by the manner in which the invention was made."

9. Claims 2-6, 8-12, 14-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Xu et al. (US Patent 6,324,581) as applied to claims above, and further in view of Schmuck et al. (US Patent 5,940,841).

10. As per dependent claims 2, 8, 14, Xu rendered by the following:

"determining a subset of the foreign file attributes which are equivalent to a corresponding subset of tile attributes of the native file system, the subset of the foreign file attributes hereinafter known as conventional file attributes" at Fig. 2, col. 8, lines 47-59;

"returning the conventional tile attributes to the client" at Fig. 3, col. 8, line 65 to col. 9, line 6;

Xu does not teach extended file attributes. However, Schmuck teaches "storing a remaining subset of the foreign file attributes which are not equivalent to a corresponding subset of file attributes of the native file system, the remaining subset of the foreign file attributes hereinafter known as extended file attributes" (at Fig. 2, col. 8, lines 47-59). Thus, it would have been obvious to one ordinarily skilled in the art at the time of the invention to extract extended file attributes from metadata in order to better access shared disk system.

11. As per dependent claims 3, 9, 15, Xu rendered by the following:

"accessing of the foreign file by the client via a protocol of the native tile system, the accessing being performed in a similar manner to accessing a native file system file" at Fig. 1, 4-5, col. 14, lines 9-22;

Xu does not teach using extended file attributes for accessing foreign file.

However, Schmuck teaches "accessing of the foreign file by the client by use of the extended file attributes, the accessing being performed via a protocol different from the native tile system protocol" (at col. 27, lines 10-30). Thus, it would have been obvious to one ordinarily skilled in the art at the time of the invention to extract extended file attributes from metadata in order to better access shared disk system.

12. As per dependent claim 4, 10, 16, Schmuck teaches the following:

"starting an expiration timer corresponding to the extended tile attributes" at col. 29, line 36 to col. 30, line 37;

"removing the extended file attributes from the local data processing system storage after the expiration of tile expiration timer" at col. 30, lines 4-21.

13. As per dependent claims 5, 11, 17, Xu teaches "the sending of the foreign tile attributes is performed by a web server located on the remote system, the web server being capable of sending and receiving messages via a network" at Fig. 1, 4-5, col. 13, lines 36-63.

14. As per dependent claims 6, 12, 18, Schmuck teaches the following:

"storing the extended file attributes in a shared memory portion of the local data

processing system storage which is accessible by the client and other local data processing system processes" at Fig. 2, col. 8, lines 47-59;  
"associating a unique handle (interpreted unique handle as inode) with the extended file attributes" at col. 1, lines 30-34;  
"providing the unique handle (interpreted unique handle as inode) to a local data processing system process to enable the local data processing system process to access the extended file attributes at col. 27, lines 31-62.

### ***Conclusion***

15. The prior art made of record, listed on form PTO-892, and not relied upon, if any, is considered pertinent to applicant's disclosure.

16. If a reference indicated, as being mailed on PTO-FORM 892 has not been enclosed in this action, please contact Lisa Craney whose telephone number is (703) 305-9601 for faster service.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sathyanarayan Pannala whose telephone number is (703) 305-3390. The examiner can normally be reached on 8:00 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached on (703) 305-9790. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.



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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

*srp*  
Sathyanarayan Pannala  
Examiner  
Art Unit 2177

srp  
August 23, 2002

*John E. Breen*  
JOHN BREEN  
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